

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Juergen HERWIG, et al.

SERIAL NO: 10/572,594

GAU:

FILED: March 20, 2006

EXAMINER:

FOR: COAMMOXIDATION OF KETONES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the reference(s) listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>286540US0PCT</b>		SERIAL NO. <b>10/572,594</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Juergen HERWIG, et al.</b>			
				FILING DATE <b>March 20, 2006</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,403,851 B1	06/11/02	WILCZOK, et al.			
	AB	6,407,304 B2	06/18/02	SCHIFFER, et al.			
	AC	6,927,308 B2	08/09/05	LEININGER, et al.			
	AD	2004/0225168	11/11/04	HERWIG, et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

	Docket No.: 286540US0PCT	Serial No.: 10/572,594
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Juergen HERWIG, et al.	
	Filing Date: March 20, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	301240US0X	11/688,505	03/20/07		HERWIG, et al.
	192056US0	6,403,851	06/11/02		WILCZOK, et al.
	196242US0	6,407,304	06/18/02		SCHIFFER, et al.
	243729US0X	6,927,308	08/09/05		LEININGER, et al.
	246976US0	10/790,094	03/02/04	US2004/0225168 A1	HERWIG, et al.
	286540US0PCT*	10/572,594	03/20/06		HERWIG, et al.
	308271US0PCT	11/719,164	05/11/07		KUPPERT, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/sbs